

# Enantioselective Synthesis of Polycyclic Coumarin Derivatives Catalyzed by an *in Situ* Formed Primary Amine-Imine Catalyst

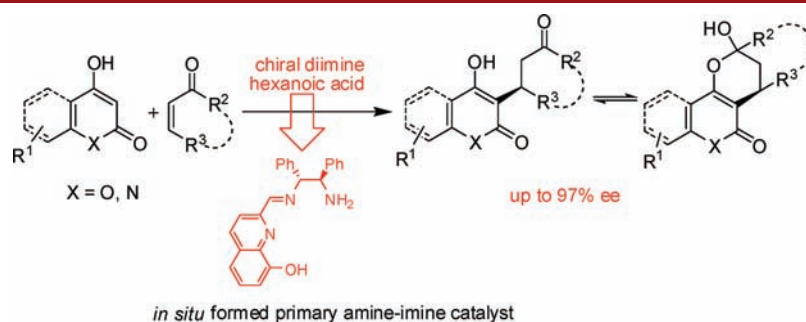
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## ABSTRACT



A facile *in situ* formed primary amine-imine organocatalyst was developed in the asymmetric Michael addition of substituted 4-hydroxycoumarins to cyclic enones. A series of optically active polycyclic coumarin derivatives were obtained in high yields with excellent enantioselectivities up to 97% ee.

Coumarin derivatives are distributed in a large number of natural products and are commonly used as versatile intermediates in natural product synthesis.<sup>1</sup> Modification of this class of compound has been of great interest to chemists due to their various biological activities to anti-malarial, anticoagulant, and anti-HIV activities, etc.<sup>2</sup> Although most of coumarin derivatives are currently prescribed as the racemate, activity and metabolism are markedly dissimilar for the two enantiomers.<sup>3</sup> Therefore,

efficient asymmetric syntheses of coumarins are of long-standing interest.<sup>4</sup> Organocatalysis has proven itself a valuable strategy in the preparation of the synthesis of optically active coumarins<sup>5</sup> since Jørgensen reported a one-step synthesis of enantiomerically pure warfarin in 2003.<sup>6</sup> They presented the first example of an imidazolidine organocatalyst promoted asymmetric Michael reaction of coumarin and  $\alpha,\beta$ -unsaturated ketones.<sup>7</sup> Chin and Chen

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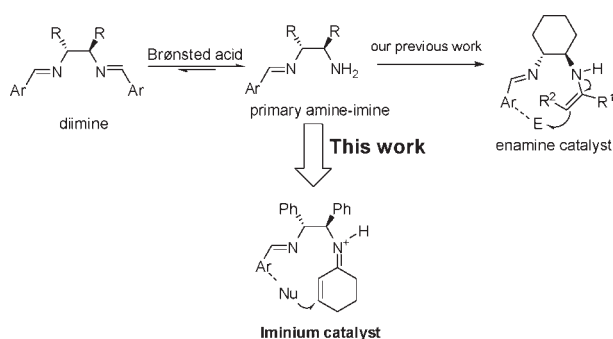
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reported the same strategy for the synthesis of pure warfarin catalyzed by a primary amine and diamine, respectively.<sup>8</sup> Recently, Xu and Wang independently developed the procedure by chiral squaramides and an amine-thiourea catalyst.<sup>9</sup> Good yields and excellent enantioselectivities were obtained with the synthesis strategy above. However, most of the previous research efforts have been limited to a Michael addition reaction with unsubstituted coumarins to modified acyclic enones. Cyclic enones, a special  $\alpha, \beta$ -unsaturated system, still remain as difficult substrates and have been rarely employed as electrophiles in this process. Even for the only example with a 2-cyclohexen-1-one as a cyclic enone donor, the reaction activity was obviously low and a long reaction time (6 days, 78% yield) was required.<sup>8a</sup> Since the conjugate addition to cyclic enones is an important strategy for the synthesis of active cyclic building blocks, it is therefore of great demand to develop a more effective method to improve the tolerance of cyclic enones and explore more coumarin substrates.

**Scheme 1.** Application of the *in Situ* Prepared Primary Amine-Imine



Recently, the primary amine-imine catalyst with an (*R,R*)-cyclohexane backbone was first described by our group as an efficient aminocatalyst for the aldol reaction of  $\alpha$ -keto esters with excellent enantioselectivity.<sup>10</sup> The primary amine-imine catalyst (Scheme 1), which is unable to be isolated due to instability,<sup>11</sup> can be *in situ* generated by taking advantage of the hydrolyzation of a chiral diimine under acidic conditions. This could be identified obviously using ESI-MS. A catalytic amount of chiral diimine with AcOH afforded the active catalyst, which efficiently

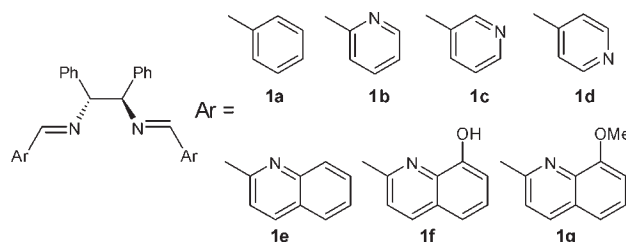
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promoted the aldol reaction *via* an enamine process. Inspired by the finding, we considered that the procedure could be extended to activate the cyclic  $\alpha, \beta$ -unsaturated ketones *via* an iminium process in the Michael reaction.<sup>12</sup> Herein, we describe an asymmetric Michael reaction of 4-hydroxycoumarins and 2-cyclohexen-1-one catalyzed by the *in situ* formed primary amine-imine catalyst with an (*R,R*)-diphenylethane backbone to give polycyclic coumarin derivative adducts in high yields and excellent enantioselectivities under mild conditions.



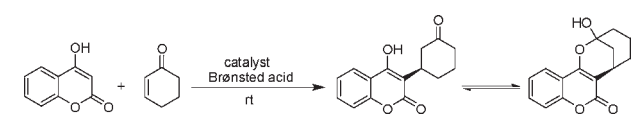
**Figure 1.** Structure of diimine precatalysts.

In the preliminary investigation, a series of diimine precatalysts with an (*R,R*)-diphenylethane backbone were prepared (Figure 1, **1a–1g**). When acidified with AcOH in THF, the diimines largely converted to the primary amine-imine, which could be obviously detected by the ESI-MS analysis of the mixture.<sup>13</sup> The addition of 4-hydroxycoumarin **2a** to 2-cyclohexen-1-one was selected as a model reaction to explore the feasibility of the proposed strategy catalyzed by a chiral primary amine-imine catalyst. The results are summarized in Table 1.

Initially, diimine **1a** was investigated as the precatalyst, and the reaction failed to proceed without any additive. When added with 10 equiv of AcOH, **1a** afforded the desired product in 96% yield with 86% *ee* in THF at room temperature (entry 1). The product was found to exist in rapid equilibrium with a pseudodiastereomeric hemiketal form in solution. The equilibrium is very rapid, and therefore no pseudodiastereomers are observed during HPLC analysis.<sup>7–9</sup> (*R,R*)-dpen as catalyst was also investigated but gave the desired adduct with a lower *ee* value (entry 2). Subsequently, different diimines **1b–1g** were probed as precatalysts, and **1f** exhibited the best result (entry 7). We then investigated the effects of solvents with catalyst **1f**. The results (entries 7 and 9–12) showed that the best solvent was THF. To further improve the enantioselectivity, the effect of

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(13) For ESI-MS spectra, see Supporting Information: **1f** was acidified with AcOH in THF.

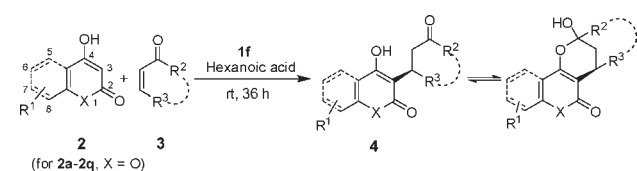
**Table 1.** Asymmetric Michael Addition of 4-Hydroxycoumarin to 2-Cyclohexen-1-one

entry <sup>a</sup>	catalyst	solvent	additive	<i>t</i> (h)	yield (%) <sup>b</sup>	<i>ee</i> (%) <sup>c</sup>
1	<b>1a</b>	THF	--/AcOH	24	--/92	--/86
2	( <i>R,R</i> )-dpen	THF	--/AcOH	24	92/96	49/75
3	<b>1b</b>	THF	AcOH	36	93	88
4	<b>1c</b>	THF	AcOH	36	91	87
5	<b>1d</b>	THF	AcOH	36	90	86
6	<b>1e</b>	THF	AcOH	36	92	89
7	<b>1f</b>	THF	AcOH	36	94	91
8	<b>1g</b>	THF	AcOH	36	92	88
9	<b>1f</b>	Toluene	AcOH	48	68	79
10	<b>1f</b>	MeOH	AcOH	72	57	63
11	<b>1f</b>	CH <sub>2</sub> Cl <sub>2</sub>	AcOH	48	90	88
12	<b>1f</b>	Et <sub>2</sub> O	AcOH	72	9	68
13	<b>1f</b>	THF	C <sub>2</sub> H <sub>5</sub> COOH	36	93	92
14	<b>1f</b>	THF	C <sub>3</sub> H <sub>7</sub> COOH	36	94	93
15	<b>1f</b>	THF	hexanoic acid	36	94	95
16	<b>1f<sup>d</sup></b>	THF	hexanoic acid	36	94	90
17	<b>1f<sup>e</sup></b>	THF	hexanoic acid	36	94	95
18	<b>1f<sup>f</sup></b>	THF	hexanoic acid	72	78	95
19	<b>1f<sup>g</sup></b>	THF	hexanoic acid	36	76	91
20	<b>1f<sup>h</sup></b>	THF	hexanoic acid	36	78	92

<sup>a</sup> Unless otherwise noted, the reaction was carried out with 0.1 mmol of 2-cyclohexen-1-one, 0.1 mmol of 4-hydroxycoumarin, 10 equiv of a Brønsted acid, and a 10 mol % loading of diimine in 0.5 mL of THF at room temperature. <sup>b</sup> Isolated yield. <sup>c</sup> Determined by chiral HPLC. The absolute configurations were established as *R*. <sup>d</sup> With 5 mol % loading of **1f**. <sup>e</sup> With 20 mol % loading of **1f**. <sup>f</sup> Carried out at 0 °C. <sup>g</sup> With 5 equiv of hexanoic acid loading. <sup>h</sup> With 20 equiv of hexanoic acid loading.

additives was investigated. A series of Brønsted acids screened showed that aliphatic acids had a slight effect on enantioselectivity enhancement. Hexanoic acid was the best additive which afforded the adduct with 95% *ee* (entries 13–15). Decreasing the catalyst loading of **1f** to 5 mol % led some loss of *ee* value while increasing the **1f** loading to 20 mol % provided no improvement of enantioselectivity (entries 16–17). The reaction temperature was also studied. It seems that lowering the reaction temperature to 0 °C led to little improvement of the enantioselectivity and caused low reactivity (entry 18). In addition, the loading of hexanoic acid is probed and 10 equiv of hexanoic acid to 4-hydroxycoumarin afforded the product with the highest enantioselectivity (entries 19–20). Optimization of reaction conditions revealed that the reaction carried out with a 10 mol % loading of **1f** and 10 equiv of hexanoic acid in 0.5 mL of THF at room temperature afforded the adduct with the best reactivity (94% yield) and enantioselectivity (95% *ee*) in 36 h (entry 15).

With the optimized conditions, the substrate generality was investigated. As summarized in Table 2, generally, the Michael reactions proceeded smoothly with a variety of substituted 4-hydroxycoumarins and 2-cyclohexen-1-one to generate the corresponding adducts with high enantioselectivities. The 4-hydroxycoumarins with electron-donating

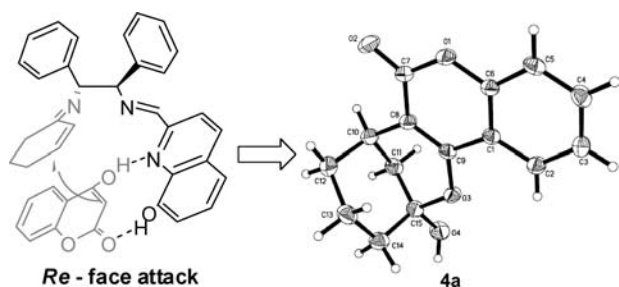
**Table 2.** Asymmetric Michael Addition of 4-Hydroxycoumarins to Cyclic Enones

(for **2a–2q**, X = O)

entry <sup>a</sup>	<b>2</b>	<b>3</b> (R <sup>2</sup> , R <sup>3</sup> )	product	yield (%) <sup>b</sup>	<i>ee</i> (%) <sup>c</sup>
1	<b>2a</b> R <sup>1</sup> =H	-C <sub>3</sub> H <sub>6</sub> -	<b>4a</b>	94	95( <i>R</i> ) <sup>d</sup>
2	<b>2b</b> R <sup>1</sup> =8-Me	-C <sub>3</sub> H <sub>6</sub> -	<b>4b</b>	91	97
3	<b>2c</b> R <sup>1</sup> =6-Me	-C <sub>3</sub> H <sub>6</sub> -	<b>4c</b>	94	94
4	<b>2d</b> R <sup>1</sup> =6-OMe	-C <sub>3</sub> H <sub>6</sub> -	<b>4d</b>	91	95
5	<b>2e</b> R <sup>1</sup> =6- <i>t</i> Bu	-C <sub>3</sub> H <sub>6</sub> -	<b>4e</b>	87	96
6	<b>2f</b> R <sup>1</sup> =6, 8-( <i>t</i> Bu) <sub>2</sub>	-C <sub>3</sub> H <sub>6</sub> -	<b>4f</b>	86	95
7	<b>2g</b> R <sup>1</sup> =6-Cl	-C <sub>3</sub> H <sub>6</sub> -	<b>4g</b>	92	95
8	<b>2h</b> R <sup>1</sup> =6-Br	-C <sub>3</sub> H <sub>6</sub> -	<b>4h</b>	94	91
9	<b>2i</b> R <sup>1</sup> =7-F	-C <sub>3</sub> H <sub>6</sub> -	<b>4i</b>	93	95
10	<b>2j</b> R <sup>1</sup> =7, 8-benzo	-C <sub>3</sub> H <sub>6</sub> -	<b>4j</b>	82	95
11	<b>2k</b> R <sup>1</sup> =5, 6-benzo	-C <sub>3</sub> H <sub>6</sub> -	<b>4k</b>	84	95
12	<b>2l</b> R <sup>1</sup> =H	-C <sub>4</sub> H <sub>8</sub> -	<b>4l</b>	91	94
13	<b>2m</b> R <sup>1</sup> =H	-C <sub>3</sub> H <sub>10</sub> -	<b>4m</b>	88	95
14	<b>2n</b> R <sup>1</sup> =H	R <sup>2</sup> =Me, R <sup>3</sup> =Ph	<b>4n</b>	96	91
15	<b>2o</b> R <sup>1</sup> =H	R <sup>2</sup> =Ph, R <sup>3</sup> =Ph	<b>4o</b>	61	94
16	<b>2p</b> R <sup>1</sup> =H	-C(CH <sub>3</sub> ) <sub>2</sub> C <sub>2</sub> H <sub>4</sub> -	<b>4p</b>	94	95
17	<b>2q</b>	-C <sub>3</sub> H <sub>6</sub> -	<b>4q</b>	88	95
18	<b>2r</b>	-C <sub>3</sub> H <sub>6</sub> -	<b>4r</b>	83	88

<sup>a</sup> Carried out with 0.1 mmol of enone, 0.1 mmol of substituted 4-hydroxycoumarin compounds, 10 equiv of hexanoic acid, and a 10 mol % loading of diimine **1f** in 0.5 mL of THF at room temperature for 36 h. <sup>b</sup> Isolated yield. <sup>c</sup> Determined by chiral HPLC. <sup>d</sup> The absolute configuration was established as *R*.

substituents (entries 2–6) and electron-withdrawing substituents (entries 7–9) on aromatic rings were introduced and showed good toleration in the reaction. It appears that the position and electronic properties of substituents on aromatic rings have a limited effect on the activity and selectivity of this process. Benzo-4-hydroxycoumarins were also investigated (entries 10–11). Although slightly lower yields of the Michael adducts were obtained, high enantioselectivities were maintained. 2-Cyclohepten-1-one and 2-cycloocten-1-one were employed as electrophiles in the process and showed good enantioselectivities (entries 12–13). Benzalacetone and chalcone as acyclic enones were also introduced and were well-tolerated (entries 14–15). Moreover, we probed the asymmetric Michael addition reaction of 4,4-dimethylcyclohex-2-enone, which gave the adduct in a high yield with 95% *ee* (entry 16). In addition, the reaction can be successfully extended utilizing 4-hydroxy-6-methyl-2-pyrone as the Michael donor, which afforded an excellent result (entry 17). 1-Methyl-4-hydroxycarbonyl, a 4-hydroxycoumarin analogue, was also employed,



**Figure 2.** Proposed transition state for the reaction and X-ray crystal structure of compound **4a**.

and high enantioselectivity (88% ee) was achieved with a little reduction of yield (entry 18).

The absolute configuration of product **4a** was determined to be *R* by using single-crystal X-ray diffraction (Figure 2).<sup>14</sup> Based on the result, we proposed a transition state for the catalytic asymmetric Michael reaction. The chiral diimine is hydrolyzed under acidic conditions and converted to a primary amine-imine catalyst. The interaction

(14) CCDC 823543 contains the supplementary crystallographic data for this paper. The data can be obtained free of charge from The Cambridge Crystallographic Data Centre via [www.ccdc.cam.ac.uk/data\\_request/cif](http://www.ccdc.cam.ac.uk/data_request/cif).

between enone and amine gives the active iminium. The 4-hydroxycoumarin introduced by hydrogen bonding is much more accessible to attack the active iminium from the *Re* face, affording the major stereoisomer.

In conclusion, we have successfully demonstrated that the *in situ* formed primary amine-imine catalyst is an excellent aminocatalyst for an enantioselective Michael addition reaction of substituted 4-hydroxycoumarin compounds and cyclic enones. High yields and excellent enantioselectivities were achieved for a series of substituted 4-hydroxycoumarin compounds. Cyclic enones showed excellent toleration in the process, which explored a new strategy for the synthesis of optically active polycyclic coumarin derivatives.

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**Supporting Information Available.** Experimental procedures, structural proofs, NMR spectra and HPLC chromatograms of the products, and CIF file of enantiopure **4a**. The material is available free of charge via the Internet at <http://pubs.acs.org>.